



Below is the documentation regarding enforcement actions involving the Company and/or its affiliates within the last five years:

- ***Arcadia Power, Inc.: Illinois***

In July of 2023, the Program Administrator for the Illinois Shines Program (Program Administrator) sent a formal warning letter to Arcadia regarding Arcadia's marketing activities, and compliance with disclosure form and designee registration requirements. Although Arcadia did not admit misconduct or liability, there was an administration action and Arcadia worked with the Program Administrator to provide information for the Program Administrator to develop a Compliance Plan. Arcadia remains obligated to act in accordance with the requirements of the October 10, 2023, Compliance Plan, as amended.

- ***Arcadia Power Inc.: Connecticut***

In January of 2024, the Connecticut Public Utilities Regulatory Authority (PURA) sent Arcadia an inquiry regarding certain of its past brokerage retail energy sales and its Renewable Energy Credit (REC) sales to Connecticut consumers. The Company settled this matter with PURA and this matter is now closed.