

Inspections (ALMS)	CY 2020	CY 2021-through-April 2021
Total Counts	1,296	323
Currently Open	101	195

Inspections (Workforce) - 860 total

Please note: This document was originally submitted to the Joint Standing Committee on Veterans and Legal Affairs for their work session on May 3, 2021.

Question Category	Question	Compliance Count	Violation Count	Total Evaluated	Compliance Rate
Advertising and Social Media	Advertising and Social Media Compliance	126	33	159	79.2%
Caregiver Assistants	Caregiver Assistants	210	91	301	69.8%
Cultivation	Pesticide safety training for workers	12	2	14	85.7%
Cultivation	Pesticide use	29	2	31	93.5%
Manufacturing	Bottling License	4	0	4	100.0%
Manufacturing	Engineer approved IHS Extraction plans		1	1	
Manufacturing	Food Processor License	23	1	24	95.8%
Manufacturing	Local Authorization	35	2	37	94.6%
Manufacturing	No additions to trademarked food/drink	24	0	24	100.0%
Manufacturing	No prohibited use of trademarked brands	23	1	24	95.8%
Manufacturing	Shape of human, animal or fruit	24	0	24	100.0%
Packaging and Labeling	Lab testing certificate verification	13	0	13	100.0%
Packaging and Labeling	Packaging and Labeling	555	129	684	81.1%
Record Keeping	Maintains transfer records	628	47	675	93.0%
Record Keeping	Retains records for 7 years	608	71	679	89.5%
Retail Sales	Caregiver has only one retail store	66	2	68	97.1%
Retail Sales	Edible Trademark Compliance	100	6	106	94.3%
Retail Sales	Edibles Sourced From Registered CGRs with Valid Food Processor Licenses	108	0	108	100.0%
Retail Sales	Patient transaction log	509	104	613	83.0%
Retail Sales	Retail Food License	64	44	108	59.3%
Retail Sales	Retail Store Local Authorization	67	1	68	98.5%
Retail Sales	Sales to qualified out-of-state patients	568	1	569	99.8%
Scales	Scales Certification	215	476	691	31.1%
Security	Access to cultivation location limited	642	32	674	95.3%
Security	Door and window locks	619	18	637	97.2%
Security	Fencing	101	50	151	66.9%
Tax and Wholesale Compliance	Maine Revenue Service Retail Certificate	516	187	703	73.4%
Tax and Wholesale Compliance	Sales or purchases to/from non-caregivers	398	15	413	96.4%
Tax and Wholesale Compliance	Wholesale transaction records	386	27	413	93.5%
Trip Tickets	Trip Ticket Compliance	420	141	561	74.9%

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Question Category	Question / Violations	Compliance Count	Violation Count	Total Evaluated	Compliance Rate
Advertising and Social Media	Advertising and Social Media Compliance	126	33	159	79.2%
	High likelihood of reaching persons under 21		17		
	Unsolicited internet advertising/banners/mass marketing		1		
	Within 1000 feet of a school (500 feet with municipal approval)		1		
	Other		15		
Caregiver Assistants	Caregiver Assistants	210	91	301	69.8%
	Employee not Registered with OMP		35		
	Expired Caregiver Assistant		2		
	File does not contain I-9, W-4, Job Description, Photo ID copy		34		
	No Files Maintained		18		
	Other		9		
Cultivation	Pesticide use	29	2	31	93.5%
	Applicator not licensed by Board of Pesticides		2		
Cultivation	Pesticide safety training for workers	12	2	14	85.7%
	Training Not Provided		2		
Manufacturing	Bottling License	4	0	4	100.0%
Manufacturing	Engineer approved IHS Extraction plans		1	1	
	Equipment not certified by engineer		1		
Manufacturing	Food Processor License	23	1	24	95.8%
	No License		1		
Manufacturing	Local Authorization	35	2	37	94.6%
	No local authorization		2		
Manufacturing	No additions to trademarked food/drink	24	0	24	100.0%
Manufacturing	No prohibited use of trademarked brands	23	1	24	95.8%
	Other		1		
Manufacturing	Shape of human, animal or fruit	24	0	24	100.0%
Packaging and Labeling	Lab testing certificate verification	13	0	13	100.0%
Packaging and Labeling	Packaging and Labeling	555	129	684	81.1%
	Label depicts human, animal, fruit		3		
	Not child resistant packaging		66		
	Not labeled "Contains Harvested Marijuana"		12		
	Not opaque packaging at final sale		53		
	Not tamper evident packaging		63		
	Other		33		
Record Keeping	Maintains transfer records	628	47	675	93.0%
	Other		47		
Record Keeping	Retains records for 7 years	608	71	679	89.5%
	Other		71		
Retail Sales	Caregiver has only one retail store	66	2	68	97.1%
	Other		2		
Retail Sales	Edible Trademark Compliance	100	6	106	94.3%
	Other		6		
Retail Sales	Edibles Sourced From Registered Caregivers with Valid Food Processor Licenses	108	0	108	100.0%
Retail Sales	Patient transaction log	509	104	613	83.0%
	Other		104		
Retail Sales	Retail Food License	64	44	108	59.3%
	Expired License		4		
	License for different location		1		
	No License		39		

Question Category	Question / Violations	Compliance Count	Violation Count	Total Evaluated	Compliance Rate
Retail Sales	Retail Store Local Authorization	67	1	68	98.5%
	Other		1		
Retail Sales	Sales to qualified out-of-state patients	568	1	569	99.8%
	Other		1		
Scales	Scales Certification	215	476	691	31.1%
	Caregiver has no scales		38		
	Scales not certified / sealed		431		
	Other		7		
Security	Access to cultivation location limited	642	32	674	95.3%
	Other		32		
Security	Door and window locks	619	18	637	97.2%
	Other		18		
Security	Fencing	101	50	151	66.9%
	Other		50		
Tax and Wholesale Compliance	Maine Revenue Service Retail Certificate	516	187	703	73.4%
	Other		187		
Tax and Wholesale Compliance	Sales or purchases to/from non-caregivers	398	15	413	96.4%
	Other		15		
Tax and Wholesale Compliance	Wholesale transaction records	386	27	413	93.5%
	Other		27		
Trip Tickets	Trip Ticket Compliance	420	141	561	74.9%
	Failure to Use OMP Mandated Form (Eff. July 1, 2020)		14		
	No Caregiver Name / CGR number		2		
	None used		24		
	Not used		98		
	Other		5		

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