

APPENDIX

William R. Koscinski Jr. CPA (the “Firm”) identified and addressed a malware infection that affected its network. Upon being notified by the IRS that some of the Firm’s clients tax information was used to file fraudulent tax returns on March 25, 2021, the Firm took steps to secure its network and began an investigation with the assistance of a cybersecurity firm. On March 30, 2021, the investigation identified malicious code on a server that contained personal information of one (1) Maine resident and the information included the individual’s name, Social Security number and financial information.

On April 30, 2021, the Firm began mailing notification letters via United States Postal Service First Class Mail to the one (1) resident in accordance with Me. Rev. Stat. Tit. 10, §1348.¹ To reduce the risk of a similar incident occurring in the future, the Firm is implementing additional measures to enhance existing security protocols.

¹ This report does not waive the Firm’s objection that Maine lacks personal jurisdiction over it related to any claims that may arise from this incident.