

## APPENDIX

Rose Associates, Inc. (“Rose”) concluded its investigation into a December 17, 2020 data security incident that targeted several endpoints in its network. Upon discovering this activity, Rose immediately stopped the unauthorized activity, launched an internal investigation, and engaged a cyber security firm to assist. The investigation determined that an unauthorized person accessed files stored on Rose’s network. Rose then conducted a thorough review of the contents of these files. On February 25, 2021 Rose determined these files contained the name and Social Security number of one (1) Maine resident. Rose is not aware of any resulting identity theft, fraud, or financial losses to consumers.

On May 24, 2021, Rose will mail a notification letter to one (1) Maine resident via United States First-Class mail, in substantially the same form as the enclosed letter.<sup>1</sup> Notice is being provided to the individuals as soon as possible and without delay.

Rose is offering the eligible Maine resident a complimentary one-year membership in credit monitoring and identity theft protection services through Experian. Eligible individuals may enroll in the credit monitoring services online or via phone, instructions for which are provided in the notification letter. Eligible individuals are not asked or required to waive any right of private action as a condition of accepting the credit monitoring services. The notification letter provides a toll-free number to call with questions about the credit monitoring services. Rose has also established a dedicated call center for individuals to call with questions about the incident.

Rose takes the security of personal information very seriously and is committed to protecting personal information. As part of our response, Rose also implemented measures to further secure our network and data, including limiting local storage of data, enhancing its existing security protocols and re-educating staff for awareness on these types of incidents.

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<sup>1</sup> This notice does not waive Rose’s objection that Maine lacks personal jurisdiction over Rose Associates, Inc. regarding any claims related to this incident.