

## APPENDIX

While conducting a routine security review, Oak Valley Community Bank (“Oak Valley”)<sup>1</sup> discovered suspicious activity involving some of its computer systems on February 2, 2021. Upon discovering this activity, Oak Valley immediately secured the systems involved, began an investigation with the assistance of a cybersecurity firm, and notified law enforcement.

Oak Valley’s investigation identified unauthorized access to files on one server in its network between February 1, 2021 and February 2, 2021. Oak Valley then conducted a comprehensive review of the affected files on the server. On April 23, 2021, Oak Valley determined that certain of those files contained information regarding current and former bank customers as well as employees of certain businesses that conduct business with Oak Valley. Oak Valley determined the information in the files included the individuals’ name and Social Security number.

Beginning today, Oak Valley will mail notification letters to two (2) Maine residents via U.S. First-Class mail. A sample copy of the notice letter is enclosed. Oak Valley is offering the Maine residents two-years of complimentary credit monitoring, fraud consultation, and identity theft restoration services through Kroll. Oak Valley has also established a dedicated call center for individuals to call with questions about the incident.

To help prevent something like this from happening in the future, Oak Valley is continuing to regularly audit its systems for potential unauthorized activity and is implementing enhanced network monitoring tools.

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<sup>1</sup> This report does not waive Oak Valley’s objection that Maine lacks personal jurisdiction over it related to any claims that may arise from this incident.